

MARY ANN TUPAZ - GUMBAN, CPA, MMBM

SUMMARY OF INFORMATION



AWARDS AND CITATIONS

Awardee, **Ten Outstanding Ilonggos of Iloilo City 2023**. Awarded by the Iloilo City Local Government Unit in recognition of my contributions to Ilonggo society, history and progress.

National Awardee, **Most Outstanding Finance Educator of the Philippines for 2012-2013**, awarded by Deloitte Philippines and FINEX Foundation Inc.

Regional Awardee, **Most Outstanding Finance Educator in the Visayas for 2012-2013**, awarded by Deloitte Philippines and FINEX Foundation Inc.

Awardee, **Ten Most Outstanding Women of Iloilo (Education and Academe), 2013**, awarded by the Iloilo City Government.

Awardee, **Pinoy Icon Heroes of Today (Apolinario Mabini for Education) for 2013**, awarded by JCI Regatta.

Awardee, **Mayor's Citation** for outstanding support and service that brought progress and development to the city, August 25, 2017.

ACADEMIC AND PROFESSIONAL ACHIEVEMENTS

TrainQA (Internal Quality Assurance) for Universities in Southeast Asia. The course was offered to a selected group of Southeast Asian higher education institutions in order to build up systematic internal quality assurance (IQA) structures and to promote quality culture within their own universities. This is jointly conducted by the German Academic Exchange Service (DAAD), the German Rectors' Conference (HRK), and the University of Potsdam, Germany. TrainQA is based on a set of five modules with a strong practical focus, mainly concerned with designing a QM system, tools and procedures of QA, curriculum design and revision, change management and linkages between quality management and higher education management. TrainQA is coordinated by the Centre for Quality Development of the University of Potsdam, Berlin, Germany. February 20-24, 2017, first module delivery in Petaling Jaya, Malaysia. The course ended in Hanoi, Vietnam in November, 2019.

EPICN (Educational Partnership for Innovation in Communities Network) Training. The course training was conducted by the team of Faculty Members from the University of Oregon, USA and focused on the use of the human resources (faculty and students and research capabilities of the university to solve problems of cities and communities network. The training was situated and finished in Bonn, Germany at the Gustav Stresseman Institute (GSI) in May, 2017.

International Deans' Course. A training in higher education management in an international context designed for newly appointed deans and heads of departments from Southeast Asia and East Africa jointly run by the German Academic Exchange

Service (DAAD), the German Rectors' Conference (HRK), the Alexander von Humboldt Foundation (AvH), the Centre for Higher Education Management (CHE) and the University of Applied Sciences Osnabrück. The training entitled me with 190 units/hours credit in higher education leadership and management, February, 2015.

Doctor of Management (Business Management), Graduate School, Central Philippine University, Iloilo City, finished the 21 academic units.

Master of Management (Business Management), School of Development Management, University of the Philippines in the Visayas, 1987.

Bachelor of Science in Business Administration (Accounting), School of Development Management, University of the Philippines in the Visayas. 1983.

LEADERSHIP POSITIONS HELD

Dean, College of Accountancy, PHINMA University of Iloilo, July 1, 2022 to the present.

Assistant to the President for Planning and Development, University of San Agustin, Iloilo, November 16, 2020 to January 17, 2022. This is an executive position in the Central Management Unit of the university under the Office of the President dedicated to the formulation of Institutional Plans of the University. It is committed to provide quality assistance and technical advice to all units regarding their planning needs; integrate and coordinate all unit plans; formulate an integrated economic, investment, social, physical and other development plans and policies for the university and monitor and evaluate the implementation of the plans, programs, projects, and activities in the university on a periodic basis.

Vice Chancellor for Administration, University of the Philippines Visayas, November 1, 2017 to October 31, 2020. This is an executive staff position to the Chancellor which provides advice related to matters of administration and finance including supervision and control of the administrative and financial support functions of the university across three (3) campuses namely, Miagao Campus, Iloilo City Campus, and Tacloban Campus. I also closely supervise and control the following offices: Human Resources Development Office, Cash Office, Accounting Office, Audit Section, Procurement Unit, Property Management Unit, Auxiliary Services Office, Campus Development and Maintenance Office, and the Security Service Force of the university.

Chairman, Fiscal Policy and Operations Committee (FPOC), University of the Philippines Visayas, November 1, 2017 to October 31, 2020. As Chair of the university committee I preside over meetings, deliberations and discussions involving finances and fiscal matters affecting the operations of the university across the three (3) campuses. The work also involves management of issues and concerns directed towards operational efficiency of the entire constituent university.

Fund Manager for Visayas and Mindanao, UP Provident Fund, Inc. November 1, 2017 to October 31, 2020. The fund is a voluntary retirement savings program for employees of the University of the Philippines. As fund manager I manage the funds for the entire Visayas and Mindanao and regulates and approves membership, loan applications and fund transfers.

Member, UPV Executive Committee, November 1, 2017 to October 31, 2020. As member of the Executive Committee I am tasked to present to the Chancellor the plans and programs of the administration supportive of the academic units. I am also in

charge of seeing to it that the administrative offices and units work in synchrony and harmony with the academic unit operations of the different colleges and school of the University.

Member, Chancellor's Advisory Council, November 1, 2017 to October 31, 2020.

The CAC is an adjunct committee which provides advice to the Chancellor on matters of operations and administration of offices and academic units.

Dean, College of Management, University of the Philippines Visayas, June 2012 to October 31, 2017.

As Dean of the College of Management I am in charge of the supervision and control of the total operations of the College including the conduct of research and extension activities of the faculty. Included in my job is the curriculum improvement and development which entails current upgrading and updating.

Chairman (Department of Accountancy), Central Philippine University, June 1992 to May, 1993.

The work involved supervising the accounting faculty and managing the Accounting Department including the development of plans and programs for the improvement of the Accounting curriculum.

Finance Manager, Josephine Marine Trading Corp. (JMTC), March, 1992 - June, 1992.

Josephine Marine Trading Company was a privately owned prawn exporting company which processed and traded fresh frozen prawns to Japan and the USA. The work involved the supervision and control of the Accounting Department and included financial analysis of financing alternatives and resource generation for the company. The work also included representation of the company in agri- trade expos and presenting pricing alternatives to prospective exporters.

Director, Board of Directors, ACPAE (Iloilo Chapter), 2022 and 2023. This is a professional organization of accountants in education.

President, Association of Certified Public Accountants in Education (ACPAE- Iloilo Chapter), 2015. This is a professional organization of accountants in education.

Vice President, ACPAE (Iloilo Chapter), 2014. This is a professional organization of accountants in education.

Director, Board of Directors, ACPAE (Iloilo Chapter), 2012. This is a professional organization of accountants in education.

Vice President, Council of Deans in Region VI, October 2012 .

An organization of all Heads of Business Schools in Region VI.

Director, Board of Directors, ASPAP (National), October 2012. This organization is the Association of Schools in Public Administration of the Philippines, a national organization dedicated to the training and capability building of government administrators and public officials.

Director/Treasurer, Kaibigan ng mga Kabataang may Kanser, Inc. (KKK, Inc.).

This is a civic organization aimed at providing medical and financial assistance to indigent children with cancer in the province of Panay.

Vice-President for Internal Affairs, ACPAE (Iloilo Chapter), 2007-2008. This is a professional organization of teachers in accountancy education.

PUBLIC SERVICES/LEADERSHIP

Financial Analyst, Bread Basket Chain of Restaurants, January, 2023 to the present. The work involves setting up the financial analytical framework and reviewing the organization's financial performance.

Financial Consultant, Real Estate Business Group of Sacred Heart of Jesus Prime Holdings, Inc., September, 2021 to the present. The work involves setting up and reviewing the organization cum functions, duties and responsibilities of the Finance Department including its accounting information system and its Reporting system. This entails supervision and control of the operations of the reorganized Finance Department putting in place the needed policies, systems and accounting controls.

Regional Advisor, 2012 to the present. Philippine National Police Regional Command 6 PATROL 2030 Transformation Program. As a member of the PNP Regional Command Advisory Group I help the command group develop strategies and programs for the transformation of the PNP as an institution and to individual constituents of the organization for a better and well respected police force interacting responsibly with the community.

Regional Wildlife Management Committee, 2017 to the present. RWMC is a multi sectoral committee created to serve as a technical advisory panel and shall conduct review and final evaluation on the application of Wildlife Farm Permits, Wildlife Collector's Permit and Wildlife Special Use Permit. advisory council for the Department of Environment and Natural Resources for Western Visayas 6. The committee is also tasked as an oversight body for the conservation, protection and preservation of wildlife in the region.

Chancellor's Representative in the Western Visayas Local Governance Resource Consortium, 2012 to October 31, 2020. WVLGRC is a consortium of four universities in Iloilo City and the Department of Interior and Local Government Region VI for the capability building of local government units in Region VI. The engagement was from 2012 to the present.

Board of Trustees, Iloilo City Community College. The Iloilo City Community College is a public college created by a city ordinance # 2011-275, March 23, 2011 to provide a subsidized, affordable and accessible education package to the poor but deserving students of Iloilo City. As a member/trustee of the Board of Trustees, my work entails policy making and setting up of operating rules and regulations for the successful and continuing operations of the school. The work also includes the strategic planning for the school, including development of plans and programs to prepare the school for its eventual opening on June, 2012.

Board of Trustees, Iloilo Trade and Investment Promotions Foundation, Inc. The Iloilo Trade and Investment Promotions Foundation was organized as the executive body for the Iloilo City Trade and Investment Promotions Board set up by the Iloilo City government to promote trade and investment in Iloilo City. As a trustee, the work includes policy making for the implementation of the plans and programs of the foundation.

Consultant/Researcher, Rockwell Land Corp., Inc. The work involves an in depth study of the real estate industry in Iloilo City and its periphery with an identification of the gaps and magnets that makes a holistic outlook of its entirety.

Consultant , Business Permit and Licensing Services (BPLS) Assessment and Evaluation for Roxas City. This project is an extensive assessment of the Business Permit and Licensing services in Roxas City for the period January to March, 2015. The results of this study is intended to help the local government unit identify the gaps of the BPLS and automate and refine the system thereby making the city competitive.

Consultant/Industry Expert, INVEST Project of USAID by OI DCI. As an industry expert I did an extensive and thorough study of the industries prevailing in the city of Iloilo to determine the city's economic propulsion and growth. This study was used by the INVEST project in support of the economic intervention by USAID under the Cities Development Initiatives (CDI) for Iloilo City.

Consultant , Business Permit and Licensing Services (BPLS) Assessment and Evaluation for Iloilo City. This project is an extensive assessment of the Business Permit and Licensing services in Iloilo City for the period January to March, 2013. The results of this study is intended to help the local government unit identify loopholes and weaknesses in the BPLS and improve on these services in order to make Iloilo City an ideal investment destination.

Consultant, Kalibo Cable Television Network, Inc. (KCTN, Inc). Kalibo Cable Television Network is a provider of cable and allied services in the provinces of Aklan and Antique. The Company is currently undergoing developmental change towards a more technologically advanced product/service package that is trending in the ICT industry. My work as a consultant entails the development of a strategic plan for KCTN, Inc. including the conduct of a personnel audit which is preliminary to the Organizational Development (OD) required by the strategic plan. Since this work involves continuous monitoring of the market reception of new product offerings, a continuous customer satisfaction survey is being conducted to trace market gaps and improve on the product/service. This consultancy work started in August, 2010 and is still ongoing.

Consultant, Panay Telephone Company (PANTELCO), Inc. Panay Telephone Company is the sole provider of land-based telephone lines in the provinces of Aklan and Antique. My consultancy work entails the preparation of a market study to determine the strategic fit of the company in an expanding market and conducting the financial feasibility of a strategic tie up with Kalibo Cable Television Network, Inc. in developing a triple play cable package to upgrade the services offered to its customers. This work is still ongoing as the product package is still evolving.

Financial Consultant, Qatar Star Services, LLC, Doha, Qatar. Qatar Star Services , LLC is a subsidiary company of Mezzan Holdings operating in the Gulf Area in the Middle East. It has a chain of restaurants and catering services across the country (Qatar).

Consultant/Project Co-chair, UPV Team for the ACEF (Agricultural Competitiveness Enhancement Fund) Research Grant. The project involves the setting up of a Market Research and Resource Center for fishery products and technology primarily Bangus and Tilapia. The work required doing an active research in the value chain analysis of the fishery products.

Consultant, Iloilo Provincial Government for Financial Feasibility Study/ Analysis of District Hospitals Economic Entity Conversion. The work included the preparation of the financial feasibility of the district hospitals being converted into economic entities to achieve the goal of fiscal autonomy. In addition, trainings are continuously being conducted to train the concerned personnel in the preparation of the total feasibility study.

Consultant/Component Study leader, Organizational Development Study for ILECO I. The job included a study of the organizational structure of ILECO I and a complete

reorganization plan that was responsive to the current electric power industry landscape brought about by the Electric Power Industry Reform of 2001.

Financial Consultant, Florete Ventures Inc. The consultancy service involved the preparation of a total project feasibility study for a convention center to be situated along the Benigno Aquino Avenue (currently Plazuela de Iloilo).

Consultant, Business Planning for the UPV Technology Business Incubator project. The work entails preparation of a Business Plan for the operations of the UPV TBI and to implement and monitor the smooth operations of the incubation facility.

Coordinator and Consultant, Business Support Program (BSP) of the College of Management. The program is providing consultancy services to the business sector and to the small and medium enterprise development of Local government units (LGU's) in the region. Services provided to clients include market networking, project planning, trainings, financial and management audits, feasibility studies preparation, accounting systems evaluation and analysis and accounting systems design and installation.

Financial Consultant, ABS-CBN Foundation Inc. pilot project called Balikatan, November, 1996 to 1998 - a cooperative engaged in the trading of agricultural products from Leon, Iloilo. The program is for the rebel returnees who have returned to the folds of the government. The work included giving assistance to the cooperative in the form of training and assistance in bookkeeping and accounting and the preparation of financial reports.

Management Consultant, Cabatuan Federation of Cooperatives, July, 1996 to November, 1996. The job included a management audit and a financial audit of the whole federation.

INSPIRATIONAL AND SUSTAINED EXCELLENCE

I. Engagements as a Resource Speaker and Subject Matter Expert

- Resource Speaker, Strategic Planning for the following Rural Banks and Hospitality Industry in Iloilo:
 - Janiuay Rural Bank, Inc.
 - Rural Bank of Miagao, Iloilo Inc
 - Rural Bank of New Washington
 - Rural Bank of Leganes, Iloilo
 - Iloilo City Tourism Council (Iloilo Tourism Foundation, Inc.)
 - Ascon and GT Hotel Chain
- Resource Speaker, “Values and Personal Finance: Training for Resiliency”, February 25, 2016. This is a training for community based organizations formed in Northern Iloilo (Ajuy and Concepcion) composed of typhoon Yolanda survivors in partnership with Adventists Development Resource Agency (ADRA).
- Resource Speaker, “Strategic Management Planning for Vaximax Marketing Ventures, Inc.” Employees and management training for the company which sells vaccines, pharmaceutical and consumer health products. Participated in by 40 employees and the management group in 3 series of seminars dated January 31, February 21 and March 20, 2016.

- Resource Speaker, “Strategic Management Planning for the Bureau of Internal Revenue”, February, 2015, Smallville 21 Hotel, Iloilo City. This is participated in by Revenue District Officers of the BIR Region VI and revenue collection officers (85 participants).
- Resource Speaker, “Investment Brief Packaging for Iloilo Province”, December 6, 2013, EON Centennial Hotel, Iloilo City (35 participants). LGSP LED Investment Promotion Program.
- Resource speaker, “Success Stories in Capacity Development: The Case of the Western Visayas Local Governance Resource Consortium”, 10 Years of German Support to Good Local Governance and Decentralization in the Philippines, March 22, 2013, Mandarin Oriental Hotel, Makati City (International Conference in Asia and the Pacific). GIZ Decentralization Program.
- Resource speaker, “ Wise Investment Strategies”, The Sisters of St Paul of Chartres National Treasurers’ Conference, September 5, 2006, Antipolo City (86 participants)
- Resource speaker , “Microfinancing”, Colegio de San Jose Higher Education Department, March 3, 2007, Iloilo City (60 participants)
- Resource speaker on “The Effects of Modern Technology on the Lifestyle and School Behavior of Students in a Catholic School”, Colegio de San Jose Auditorium, November 10, 2007 (400 attendees).
- Resource speaker , “ Financial Statements Analysis”, The Sisters of St Paul of Chartres National Treasurers’ Conference, August 31, 2010, Antipolo City (92 participants)
- Resource speaker, “ Financial Risk Measurement, Analysis and Management”, The Sisters of St. Paul of Chartres, September, 2010, Cebu City (55 participants).

II. **Articles Written and Reports Completed (Published and Unpublished)**

- **“Formulation of the Provincial Foreshore Development and Management Plan for the Province of Aklan”**. This is a comprehensive Plan focused on the analyses of Status, Conditions, Problems and Issues covering 1,140.21 hectares of foreshore lands of 10 municipalities in the Province of Aklan. The plan served as template using decision tools in controlling and directing spatial development and economic initiatives in recognition of foreshore areas as conservation, recreational and economic resource areas of local government units that must be protected/preserved, developed and enhanced to complement the programs and projects of coastal municipalities. Completed in March, 2018.
- **“Transformation via Academic Partnership: A Tale of Two Cities”**. This book is a discussion of academic partnerships with two cities which resulted to a cross subsidization and sharing of resources, synergy development via concentrated collaboration and systemic fusion of efforts resulting to heightened relevance of the collaborators in the development process.
- **“Strategic Local Governance in Today’s Knowledge Economy: Case of Iloilo City”**. This paper discusses how the various stakeholders – the local government, the academe and business organizations have worked together to further enhance the human capital formation in the city. This close collaboration also ensures that infrastructures are in place to attract investors and prepare local graduates and

entrepreneurs to be globally competitive. Result and findings in this study served as vital inputs in creating a strategic policy agenda in developing a sustainable model for local governance, which can be emulated by other cities in the country. This paper was presented in two international conferences, in the Eastern Review of Public Administration (EROPA) 2016, and in the International Research Forum on the Philippines 2016, Melbourne, Australia.

- **“Bureaucratic Transformation: The Case of Iloilo City’s Innovative Reforms of its Business Permit and Licensing System (BPLS)”**. This paper examined and assessed the Reformed Business Permitting and Licensing System as a bureaucratic transformation of Iloilo City towards a more globally competitive city and making it an attractive investment destination in time for the ASEAN Integration. The assessment was anchored and benchmarked on the provisions of the Anti-Red Tape Act of 2007 in conjunction with the process standards set by the DTI-DILG Joint Memorandum Circular of 2010. This paper was presented in two international conferences - in the Eastern Review of Public Administration (EROPA) 2016, and in the International Research Forum on the Philippines 2016, Melbourne, Australia.
- **“Academic Partnership with the Public and Private Sector: A Unique Transformational Strategic Approach”**, a project action plan and an in-depth study of how a collaborative triumvirate amongst the academic institution such as the College of management, the local government unit of Roxas City and the Private sector Pueblo de Panay, Inc. was formed and successfully carried out with a dynamically changing political and economic scenario in the geographic center of the Philippines. This study demonstrates how the different faces of adversities are overcome if the collaborators are passionately committed to align themselves in congruence with each other towards the same goal. Published by the University of Applied Sciences, Osnabruck, Germany, 2015.
- **“A Deeper Look at Magnets and Gaps of Iloilo City’s Real Estate Industry”**, a commissioned research for ROCKWELL Land Corporation, Inc. from November, 2015 through March, 2016. The study is a comprehensive look at the total real estate industry in Iloilo City covering the past and the future prospects and growth, problems and challenges.
- **“ BPLS Assessment: the Case of Iloilo City”**, a commissioned research conducted for the INVEST Project of USAID through OIDCI started on January, 2013 and completed on November 30, 2013. This study was conducted to make a thorough assessment of the business permit and licensing system of Iloilo City and recommend improvements to be compliant with the provisions of the Joint Memorandum Circular issued by DILG and DTI. The study was in support of the INVEST interventions to the economic development and growth of Iloilo City.
- **“ An Industry Study for Iloilo City”**, a commissioned research conducted for the INVEST Project of USAID through OIDCI started on July, 2012 and completed on December 28, 2012. This study was conducted to make a thorough analysis of the industries prevailing in Iloilo City and contributing to its economic development. The study was in support of the INVEST interventions to the economic development and growth of Iloilo City.

- **“An Assessment of Supply and Demand of Market Size Bangus in Panay Island (1990 to 1997)”**, completed June, 2002. This study was conducted to make an assessment of the levels of supply and demand of market size bangus from 1990 to 1997 in the four provinces of Panay Island and relate this to the market price of the commodity with the objective of rationalizing the effect of the economic law of supply and demand on the commodity. This paper was completed but not published.
- **“A Synthesis of the Future for ILECO I: A Strategic Organizational Development Study”**. Part I of the two-volume terminal report completed on August , 2008 for ILECO I. As a component leader of the study team, I conducted the financial analysis and made financial projections for ILECO I under a simulated environment where the EPIR Law of 2001 is assumed to be fully implemented and operational.
- **“Organizational Manual of ILECO I”**. Part II of the two-volume terminal report completed on August, 2008 for ILECO I. As component leader of the study team, I worked in redesigning the organizational structure, and the corresponding functional charts, personnel charts and qualification standards for the employees.
- **“Nestle Toll Café - Doha, Qatar”**. A complete feasibility study for the establishment of 30 business units of Nestle café across four countries in the Middle East making Qatar Star Services, LLC, a country developer (master franchisor) based in Doha, Qatar, Middle East Asia. This terminal report was submitted on May, 2010.
- **“Feasibility Study/ Analysis of District Hospitals in the Province of Iloilo Economic Entity Conversion”**. A terminal report was submitted to the Iloilo Provincial Government Office on November, 2009 regarding the feasibility of converting 6 of the 12 district hospitals in Iloilo City into economic entities.
- **“Business Plan for the TBI Project of UP Visayas, Miagao, Iloilo”**. A terminal report submitted on March 15, 2009 to PCASTARD, DOST. This was presented to a team of German Consultants “Inwent” in connection with the establishment of a Technology Business Incubator at UP Visayas.
- **“A Feasibility Study for a Market Research and Resource Center”**. This project involved planning for the setting up of a Market Research and Resource Center for fishery products and technology primarily on Bangus and Tilapia. The work required preparing a feasibility for its operations and the feasibility of doing an active research in the value chain analysis of the fishery products. The terminal report was presented and defended with a panel of evaluators at the Department of Agriculture Regional Office as this is a project anchored on the Agricultural Competitiveness Enhancement Fund Research Grant.
- **“Triple Play: A KCTN Strategic Plan”**. A terminal report submitted to the Board of Directors of Kalibo Cable Television Network on April, 2011 detailing the strategic action plan including the financial feasibility of the plan to introduce a triple play service to the subscribers in the province of Aklan and Antique.